

The Law Offices of William W. Cochran  
965 Stanford Road, Suite 230  
Fort Collins, CO 80525

2613#5  
PATENT APPLICATION

DOCKET NO. INTE.11USU1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thomas Lemmons

Serial No.: 09/935,873

Examiner:

Filing Date: 08/23/2001

Group Art Unit: 2613

Title: A System and Method for Optimizing Broadcast Bandwidth and Content

ASSISTANT COMMISSIONER FOR PATENTS

Box DD (IDS), US Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (x) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:
- ( ) Certification under 37 CFR 1.97(e), or
- ( ) a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:
- ( ) Certification under 37 CFR 1.97(e), and
- ( ) a petition under 37 CFR 1.97(d)(2)(ii), and
- ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ( ) A check in the amount of \$\_\_\_\_\_ is enclosed herewith.
- ( ) Please charge to Deposit Account 08-2623 the amount of \$\_\_\_\_\_.

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2623 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

- [ ] First Class Mail
- [ x ] "Express Mail Post Office to Addressee" service under 37 CFR 1.10.
- "Express Mail" label no. EV004298474US


in an envelope addressed to:  
Assistant Commissioner for Patents,  
Box DD (IDS), US Patent & Trademark Office  
P.O. Box 2327, Arlington, VA 22202

Date of Deposit or Transmission: October 18, 2002  
Typed Name: Christie Cochran

Signature: Christie Cochran

(Rev. 5/15/96) HH:731595 v1

Respectfully submitted,

By   
Attorney/Agent for Applicant(s)  
William W. Cochran  
Reg. No. 26,652

Date: October 18, 2002

Telephone No.: (970)377-6363

RECEIVED

OCT 24 2002

Technology Center 2600

ET



## PATENT APPLICATION

FORM PTO-1449

ATTY. DOCKET NO.

SERIAL NO.

INTE.11USU1

09/935,873

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Thomas Lemmons

FILING DATE

08/23/2001

GROUP ART UNIT 2613

**RECEIVED**

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

OCT 24 2002

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	A	4,935,924	06/19/90	Signal Distribution Network	370	69.1
	B	5,329,370	07/12/94	Remote control system and method for cable television system	358	86
	C	5,956,338	09/21/99	Protocol for broadband data communication over a shared medium	370	395

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	AA							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	AAA	Tak-Shing et al, "Dynamic channel assignment in integrated-services cable network," Communications – Rising to the Heights, Denver, June 23-26, 1991, Proceedings of the International Conference on Communications, New York, IEEE, US vol. 1, June 23, 1991 pgs. 92-98, XP010044258.
	BBB	PCT International Search Report, Ladas and Parry, August 29, 2002.
EXAMINER		DATE CONSIDERED